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PATENT

DOCKET NO.: 2207/13697

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Pete A. HAWKINS
SERIAL NO. : 10/092,793
FILED : 8 March 2002
FOR : SYSTEM MANAGEMENT CONTROLLER
NEGOTIATION PROTOCOL
GROUP ART UNIT : 2152 Conf. No. 3414
EXAMINER : (Unassigned)

COMMISSIONER FOR PATENTS
P. O. Box 1450
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97 & § 1.98**

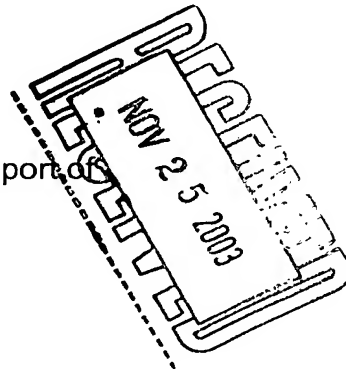
S I R:

In conformance with Applicant's duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(b)(3), the references listed on the attached form PTO-1449 are hereby brought to the Examiner's attention.

In compliance with 37 C.F.R. § 1.98, copies of the references are submitted herewith.

Statement of Relevancy

The references were cited in the International Search Report of PCT/US03/12909. A copy of the Search Report is enclosed.



It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear in the "references cited" on any patent to issue therefrom.

Respectfully submitted,

Date: 20 November 2003



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FORM PTO-1449
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT(S)

Atty Docket No.: 2207/13697
 Serial No. : 10/092,793
 Applicants : Peter A. Hawkins
 Filed : 8 March 2002
 Group Art Unit : 2152
 Examiner : (Unknown)



U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Patent Date	Name	Class/ Subclass	Filing Date
	4,141,066	02/20/79	KEILES	364/119	
	5,644,700	07/01/97	DICKSON et al.	395/182.07	
	6,085,333	07/04/00	DeKONING et al.	714/7	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class/ Subclass	Translation Yes No
	0 478 294	04/01/92	EPO		N/A

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OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner
Initial

1. HERZ M: *Remote system management principles*, PROCEEDINGS IEEE INTERNATIONAL CONFERENCE ON CLUSTER COMPUTING, CLUSTER 2000, Chemnitz, Germany, 28 Nov.- 1 Dec. 2000, pages 137-138, Los Alamitos, CA

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.